



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of

Atty. Docket

MARCEL F.C. SCHEMMANN ET AL

PHA 23,939

Serial No. 09/474,299

Group Art Unit: 2739

Filed: DECEMBER 29, 1999

Examiner

Title: DWDM CATV RETURN SYSTEM WITH UP-CONVERTERS TO PREVENT FIBER CROSSTALK

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: APPLICATION DIVISION

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION mailed on FEBRUARY 7, 2000, enclosed is a Declaration, properly signed by the Applicants and referring to the above case by its Serial Number and filing date, in compliance with 37 CFR 1.63, and a copy of the Notice. Accordingly, the above-identified patent application is now complete.

Please charge Deposit Account No. 14-1270 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application, as set forth in 37 CFR 1.16(e).

Respectfully submitted,

By Michael E. Belk  
Michael E. Belk, Reg. 33,357  
Attorney  
(914) 333-9643

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited this date  
with the United States Postal Service as first-class mail in an envelope addressed to:  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

On 02/24/00  
(Mailing Date)

By Michael E. Belk  
(Signature)  
mr21bea0.sw0



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

BE

APPLICATION NUMBER 09/474,299	FILING/RECEIPT DATE 12/29/99	FIRST NAMED APPLICANT SCHEMMANN	ATTORNEY DOCKET NO./TITLE M PHA-23,939
----------------------------------	---------------------------------	------------------------------------	---

CORPORATE PATENT COUNSEL  
U S PHILIPS CORPORATION  
580 WHITE PLAINS ROAD  
TARRYTOWN NY 10591

0232/0207

2/21/00 DATE MAILED:

2739

02/07/00

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

G. Mollen

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

946  
09474299  
0000143 141270  
1/2000 TTR001 130.00 CH  
105